Let's Get Engaged!

ARIAS•U.S. 2015 Fall Conference
November 12-13 New York City
From: Conference Co-Chairs  
Scott P. Birrell  
Michael A. Frantz  
Susan A. Stone, Esq.  

To: ARIAS-U.S. Members and Non-Members  

Re: ARIAS-U.S. 2015 Fall Conference & Annual Meeting  

The next ARIAS-U.S. Fall Conference and Annual Meeting will take place on Thursday, November 12 and Friday, November 13, 2015 at the New York Hilton Midtown Hotel in New York City. Entitled “Let’s Get Engaged!”, the conference will highlight contributions made by the diverse membership constituencies comprising our organization.

In keeping with this theme, we determined early on that the conference agenda should reflect the diverse interests and concerns of our members. To do so, we solicited membership input for purposes of understanding which topics you would find most worthwhile; and then invited requests for presentation proposals from any interested parties. The response was enthusiastic, to say the least; by a wide margin we received far more proposals for general session presentations or break-out group sessions than could possibly be accommodated. Moreover, the proposals were uniformly thoughtful, relevant and well-conceived. The selection process was a difficult one, but also highly productive. Thanks to your input, we have a member-driven agenda which will cover a wide array of subjects ranging from a broad overview of legal trends (including SCOTUS decisions), market and legislative/regulatory developments to nuts-and-bolts practice seminars. Whatever your role within ARIAS, we hope that you will find the general sessions and break out topics to be both stimulating and informative.

As in years past, the conference will include an ethics session and of course, the ultimate engagement opportunity: a speed dating session.

Finally, we are very pleased to announce the Honorable Judith Kaye as our Keynote Speaker. As many of you may know, Judge Kaye served as chief judge of the State of New York and chief judge of the Court of Appeals for 15 years, until her retirement in 2008. She was appointed to the court in 1983 by Governor Mario Cuomo, becoming the first woman ever to serve on New York’s highest court. We are delighted that Judge Kaye will join us in November.

In advance of the conference, you will be sent a folder of materials, including the Program brochure, a preliminary attendee list, and papers prepared by faculty members for you to read in preparation for the sessions. When registering, please provide an address where you can receive this USPS package leading up to the conference.

This conference will be conducted in accordance with the ARIAS-U.S. Antitrust Policy, which is available in the About ARIAS section of the website at www.arias-us.org.

In closing, we extend our thanks to all who participated in this process—and also to our Coulter partners Sara Meier, ARIAS-U.S. Executive Director and Corporate Secretary and Joyce Arawole, ARIAS-U.S. Assistant Director of Education and Certification, who worked with the co-chairs in developing the agenda and planning the conference.

We look forward to seeing you in New York City.

Sincerely,

Program Co-Chairs:
Scott P. Birrell, The Travelers Companies, Inc.
Michael A. Frantz, Munich Re
Susan A. Stone, Esq., Sidley Austin LLP
7:00 a.m. – 8:30 a.m.  REGISTRATION
West Promenade – 2nd Floor

7:30 a.m. – 8:30 a.m.  BREAKFAST
Rhinelander Gallery – 2nd Floor

8:30 a.m. – 8:40 a.m.  GENERAL SESSION – Welcome
Sutton Complex – 2nd Floor
Presenter: Michael A. Frantz, Munich Re America
Susan A. Stone, Esq., Sidley Austin LLP
Scott P. Birrell, The Travelers Companies, Inc

8:40 a.m. – 9:15 a.m  ARIAS-U.S. ORGANIZATIONAL UPDATE
Sutton Complex – 2nd Floor
Presenters: Eric S. Kobrick, ARIAS-U.S. CHAIRMAN
American International Group
Elizabeth A. Mullins, ARIAS-U.S. PRESIDENT
Swiss Re America Holding Corporation

9:15 a.m. – 10:00 a.m.  KEYNOTE SPEAKER
Sutton Complex – 2nd Floor
Presenter: Hon. Judith Kaye
Skadden, Arps, Slate, Meagher & Flom, LLP (Of Counsel)
Formerly Chief Judge of New York and Chief Judge New York Court of Appeals

10:00 a.m. – 10:30 a.m.  REFRESHMENT BREAK
East Corridor – 2nd Floor
10:30 a.m. – 11:15 a.m.  GENERAL SESSION: SCOTUS on Arbitration: Past and Future
Sutton Complex
In recent years, the Supreme Court has issued about two arbitration-related rulings each term. This presentation from two leading arbitration advocates will briefly summarize the key Supreme Court cases on arbitration. The presenters will also predict rulings in arbitration disputes that are currently percolating in the lower courts.

Presenters:  Matt Furton, Locke Lord LLP
             Julie Young, Locke Lord LLP

11:15 a.m. – 11:20 a.m.  GENERAL ANNOUNCEMENTS
Sutton Complex
Presenter:  Sara Meier, ARIAS-U.S. Executive Director

11:20 a.m. – 12:05 p.m.  GENERAL SESSION: The Impact of Industry Consolidation on the Arbitration Process
Sutton Complex
No trend or issue has had a greater impact on the reinsurance industry than consolidation. Yes, industry professionals are reluctant to discuss the subject publicly. This panel will remove the cone of silence protecting the reinsurance gorilla and discuss the impact consolidation has had and may have on reinsurance dispute resolution, including umpire selection, confidentiality, conflicts, document management and discovery, and post-award-activities. The panel will focus on the impact that consolidation has had on the number and nature of reinsurance disputes and on the changes required to meet the challenges presented by the consolidation gorilla.

Presenters:  John DeLascio, Hinshaw & Culbertson LLP
             Susan Grondine-Dauwer, SEG-D Consulting LLC
             Wendy Taylor, Chubb
             Steve Agosta, XL Re America
             Nicholas Scott, QBE North America
             Wendy Schapps, FTI Consulting
             Scott M. Seaman, Hinshaw & Culbertson LLP
             Simon Hedley, Beach Associates

12:05 p.m. – 1:20 p.m.  LUNCHEON
Rhinelander Gallery – 2nd Floor

WOMEN’S NETWORKING LUNCHEON
LIFE/HEALTH GROUP LUNCHEON
Rhinelander Gallery – 2nd Floor
1:20 p.m. – 2:10 p.m.

BREAKOUT SESSIONS

Breakout 1: Engaging Technology to Further Arbitration Efficiency and Security
Sutton Complex Breakout Rooms – 2nd Floor
This session will explore and demonstrate how technology can be used to foster efficiency and data security in the arbitration process by use of, for example, video conferencing, encryption and information security, and creating and using e-briefs.

Presenters:  
Michael Menapace, Wiggin and Dana LLP  
Nasri Barakat, II&RC  
Brad Rosen, National Indemnity Co.  
Tom McNeff, Vision Point LLC

Breakout 2: ARIAS-U.S. Neutral Rules Update
Sutton Complex Breakout Rooms – 2nd Floor
This session will focus on the newly developed ARIAS-U.S. Neutral Rules, application and questionnaire process.

Presenters:  
Eric S. Kobrick, ARIAS-U.S. Chairman, American International Group  
James I. Rubin, ARIAS-U.S. Vice President, Butler Rubin Saltarelli & Boyd LLP

Breakout 3: Improving the Arbitral Process through Team Engagement: How Counsel, Arbitrators and Company Representatives Can Make a Difference
Sutton Complex Breakout Rooms – 2nd Floor
The reinsurance arbitration process is frequently criticized as too slow, too expensive and too unpredictable. This session will focus on ways in which outside counsel, inside counsel and party arbitrators can work together as a team to make the process work better for everyone.

Presenters:  
Robert E. Sweeney, Jr., CNA  
Steven C. Schwartz, Chaffetz Lindsey LLP  
Sylvia Kaminsky, Arbitrator / Reinsurance Consultant

Breakout 4: Contract Avoidance under U.S., UK and Bermuda Law
Sutton Complex Breakout Rooms – 2nd Floor
A panel discussion on rescission and avoidance of reinsurance contracts, comparing New York, Bermudian and New English Law.

Presenters:  
Jan Woloniecki, ASW Law Limited  
Peter Chaffetz, Chaffetz Lindsey LLP  
Gavin Kealey, 7 Kings Bench Walk
BREAKOUT SESSIONS

Sutton Complex Breakout Rooms – 2nd Floor
ARIAS-U.S. has constituted an Arbitrators Committee to review issues of concern to the arbitrator community. The Committee reviews practice and procedures, solicits feedback from the arbitrators and then communicates that feedback to the relevant ARIAS-U.S. standing committee. This breakout session will explore and prioritize how arbitrators can improve their business practices and the resources that arbitrators can use to provide the best quality service to their customers.

Presenters:  
Jim Sporleder, Arbitrator  
Tom Daly, Horseshoe Insurance Advisors US LLC  
Connie O’Mara, O’Mara Consulting LLC

Breakout 2: Getting Evidence from Abroad: How to do it Right!
Sutton Complex Breakout Rooms – 2nd Floor
Obtaining documents and depositions from abroad remains a necessity in many reinsurance arbitrations. But getting it right is a more difficult challenge. This session will give practical guidance on how to ensure Letters Rogatory applications are drafted correctly and will be enforced.

Presenters:  
Peter Rogan, Ince & Co LLP  
Kiran Soar, Ince & Co LLP

Breakout 3: The “Functus Officio” Doctrine: Hearing Completion and Post Hearing Issues
Sutton Complex Breakout Rooms – 2nd Floor
This session will address the “functus officio” doctrine and the ability of an arbitration Panel to act following the issuance of a final award. The program will examine, among other key topics, whether the Panel can retain jurisdiction; whether the Panel has the authority to consider post-arbitration evidence and/or to act following post-hearing court proceedings; and whether Panel has the ability to award post-hearing costs.

Presenters:  
John L. Jacobus, Steptoe & Johnson LLP  
Deidre (Dee Dee) B. Derrig, Allstate Insurance Company

Breakout 4: Recent Changes in Contract Wording: Not Your Grandfather’s Clauses Anymore
Sutton Complex Breakout Rooms – 2nd Floor
This session will examine reinsurance contract wording developments over the past few years and explore how “standard” and familiar clauses have been changed in more recent reinsurance contracts. The program will examine these wording changes from the broker, reinsurance underwriter, reinsurance buyer and contract wordings perspectives.

Presenters:  
Larry P. Schiffer, Squire Patton Boggs LLP  
Myra E. Lobel, Guy Carpenter  
Elaine A. Caprio, Caplaw Advisors LLC  
John H. Phillips, General Reinsurance Corporation  
Joseph L. Pulvirenti, Partner Reinsurance Company of the US
3:05 p.m. – 3:35 p.m. **REFRESHMENT BREAK**  
East Corridor – 2nd Floor

3:35 p.m. – 4:50 p.m. **SPEED DATING**  
Sutton Complex (1-5) and Nassau – 2nd Floor

5:00 p.m. – 6:00 p.m. **ARIA-U.S. Annual Meeting and Elections**  
Sutton Complex (1-2) – 2nd Floor

6:00 p.m. – 7:45 p.m. **COCKTAIL RECEPTION**  
Mercury Ballroom – Third Floor

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**Friday, November 13, 2015**

7:15 a.m. – 8:30 a.m. **BREAKFAST**  
Rhinelander Gallery – 2nd Floor

7:30 a.m. - 8:30 a.m. **ARIA-U.S. Committee Meetings**  
Bryant, Morgan, Madison, Clinton & Gibson Rooms – 2nd Floor

8:30 a.m. – 9:20 a.m. **ETHICS PRESENTATION**  
Sutton Complex – 2nd Floor

Presenters:  
- **Andrew Rothsied**, RunOff Re.Solve  
- **Jim Sporleder**, Arbitrator  
- **Mark Wigmore**, Avalon Consulting LLC  
- **Mark Gurevitz**, MG Re Arbitrator and Mediator Services LLC  
- **Susan Mack**, Adams and Reese LLP

9:20 a.m. – 10:05 a.m. **GENERAL SESSION: A Practical Review of Cybersecurity and Major Insurance Issues and Trends**  
Sutton Complex – 2nd Floor

As the frequency and severity of high profile cyber-attacks escalate, Federal and State governments are imposing regulations that require organizations to demonstrate better preparedness and resilience in the event of a cyber-attack. At the same time, the media is increasing public awareness and cyber-security organizations are providing improved tools and methodologies that can help companies meet their cyber-security requirements. The panel will discuss insurance coverages and trends, non-insurance risk management frameworks around preparedness and resilience to a cyberattack, as well as a legal perspective.

Presenters:  
- **Scott Corzine**, FTI Consulting  
- **Steven H. Anderson**, QBE North America  
- **Ryan Gibney**, Lockton Companies LLC
10:05 a.m. – 10:20 a.m.  
**NEUTRAL RULES OPPORTUNITIES**  
*Sutton Complex – 2nd Floor*  
*Presenters:*  
Brian Snover, Berkshire Hathaway Reinsurance Division  
Deidre Johnson, Crowell & Moring, LLP

10:20 a.m. – 11:05 a.m.  
**GENERAL SESSION: Key Legislatives and Regulatory Developments Concerning Reinsurance in 2015 and Beyond**  
*Sutton Complex – 2nd Floor*  
The state regulator panel members will lead a discussion on the most significant legislative and regulatory developments concerning reinsurance in 2015, and what additional developments likely will take place in the near future.  
*Presenters:*  
Robert A. Whitney, MA Division of Insurance  
Elizabeth K. Dwyer, RI Dept. of Business Regulation  
Edward J. Zych, PA Insurance Department  
Dan Schwartzer, WI Office of the Commissioner of Insurance

11:05 a.m. – 11:35 a.m.  
**REFRESHMENT BREAK**  
*East Corridor – 2nd Floor*

11:35 a.m. – 12:20 p.m.  
**GENERAL SESSION: Confidentiality in Reinsurance Arbitrations: Does it Still Exist?**  
*Sutton Complex – 2nd Floor*  
Confidentiality is under attack by parties to arbitrations and the courts. This session will consider whether confidentiality has become an anachronism to modern reinsurance disputes.  
*Presenters:*  
Everett J. Cygal, Shiff Hardin LLP  
Cynthia R. Koehler, Resolute Management  
Thomas M. Zurek, OneAmerica Financial Partners

12:20 p.m. – 12:30 p.m.  
**ACKNOWLEDGEMENTS / CLOSING REMARKS**  
*Sutton Complex – 2nd Floor*  
*Presenters:*  
Elizabeth A. Mullins, ARIAS-U.S. President  
Swiss Re America Holding Corporation

**NYS CLE Credit:** Nine hours of Continuing Legal Education credits are available to those who attend this conference, which breaks down as follows: 1.0 CLE credits for Ethics, 6.5 CLE credits for Areas of Professional Practice, and 1.5 for Skills. This program is structured for both newly-admitted attorneys and experienced attorneys. Sign-in and sign-out sheets will verify attendance at all sessions and will be the basis upon which certificates of attendance will be prepared and sent, but certification of completed credit hours to CLE Boards is the responsibility of each attorney.
Calendar of ARIAS·U.S. Education Committee
Training Sessions for 2015!

Details for all events are on the ARIAS·U.S. website calendar.

September 24 - Intensive Arbitrator Training Workshop
Full-day program, with lectures and mock arbitrations
8:30 a.m. until 4:30 p.m.
Hogan Lovells US LLP
875 Third Avenue, New York, NY 10022
Registration Deadline: September 11, 2015

2015 Webinar Program
Two webinars remaining for the year:

September 30 – Traditional Life Insurance: Claim and Arbitration Issues

December TBD- Directors & Officers Insurance Issues

November 11 – Educational Seminar Series
Whether you need to complete a seminar for ARIAS-U.S. recertification, CLE’s, or are just interested in improving your craft as an arbitrator, consider attending one of these seminars. Both will be offered at the same time in New York during the afternoon of November 11 – the day before the start of the 2015 Fall Conference and Annual Meeting.

Basic Elements of Arbitration – The Limits and Application of Arbitrator Authority

Umpire Master Class – Lessons Learned from the Outside World
Half-day sessions including lunch starting at 12:00 p.m.
1:00 p.m. until 5:00 p.m.
Educational Seminar credit
New York Hilton Midtown Hotel
Registration Deadline: November 4, 2015

www.arias-us.org
Conference Registration Fees:
ARIAS·U.S. 2015 Fall Conference Registration Fees

<table>
<thead>
<tr>
<th>Registrant Type</th>
<th>Early (due by September 30)</th>
<th>Regular (due November 4)</th>
<th>Late (Onsite)</th>
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</thead>
<tbody>
<tr>
<td>Member*</td>
<td>$1,025.00</td>
<td>$1,075.00</td>
<td>$1,175.00</td>
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<tr>
<td>Non-member**</td>
<td>$1,275.00</td>
<td>$1,325.00</td>
<td>$1,425.00</td>
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*Members include designated corporate representatives and individual members.

**Non-members may apply for membership and receive member rates. Application is available online through the Membership section of the website.

**Member/Non-member fee includes:** Meeting costs, program materials, coat check, two breakfasts, one luncheon, and one cocktail reception.

**Spouse/guest fee includes:** Receptions and food events, not the conference sessions.

**Not included in registration fee:** Travel and lodging.

All registrants will be provided a name badge to wear during the conference. For security purposes, you will be required to wear your name badge at all times during conference activities.

Conference Preparation Materials:
Attendees who register by September 30th will be able to request advance materials to be sent by email, mail, or both. Attendees who register between September 30 and November 4 will receive advance materials by email only. All international attendees will receive materials by email only.

Final Conference Registration Deadline: November 4, 2015

After November 4, 2015, registrations will be accepted onsite at the conference at the onsite fee, and conference preparation materials will be available at check-in.

Direct any inquiries to: Sara Meier, ARIAS·U.S. Executive Director, director@arias-us.org, phone: 703-574-4087, fax: 703-506-3266. ARIAS·U.S., 7918 Jones Branch Drive, Suite 300, McLean, VA 22102

Cancellation Policy: The cutoff date for a full refund of the Conference Registration fee is September 30th. Anyone who cancels between that date and November 4th will receive a refund, less a $100 administrative fee. Notification must be received in writing by email, fax, or postal mail. Refund will be issued by check. Failure to cancel by November 4th will result in forfeiture of the entire registration fee.

Financial Hardship Policy Statement: As required by the New York and Illinois CLE Boards, if a member of the state’s bar would like to attend an ARIAS·U.S. conference, but finds that he or she would incur a financial hardship by doing so, an application for waiver of the attendance fee may be made to the Board of Directors of ARIAS·U.S. Such application would be held in strict confidence.
**Registration Form**

(a separate form is required for each attendee or activity participant)

**First Name:** ____________________  **Last Name:** ____________________

**Badge Name** (e.g., Bob for Robert): ____________________  □ I am a First-Time Attendee  □ I am an Arbitrator/Umpire

**Title:** ____________________  **Firm Name:** ____________________

**Address:** ____________________  **City:** ____________________  **State/Province:** ____________________  **Postal Code:** ____________________  **Country:** ____________________

**Phone:** ____________________  **Email:** ____________________

**How would you like to receive advance materials:**

- [ ] Email only
- [ ] Mail only
- [ ] Both email and mail

**Mailing Address for Advance Materials:**

<table>
<thead>
<tr>
<th>City:</th>
<th>State/Province:</th>
<th>Postal Code:</th>
</tr>
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</table>

**I would like to earn CLE credits for the following state (mark all that apply):**

- [ ] IL
- [ ] NY
- [ ] PA

**ADA or Special Needs:** ____________________

**Dietary Restrictions:**

- [ ] Vegetarian
- [ ] Vegan
- [ ] Kosher
- [ ] Gluten-Free

**Food Allergies:** ____________________  **Emergency Contact Name:** ____________________  **Phone:** ____________________

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*Members include designated corporate representatives and individual members.

**Non-members may apply for membership and receive member rates. Application is available online through the Membership section of the website.

Not included in registration fee: Travel and lodging. Only conference attendees and guests registered in advance may attend the meals and reception.

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**PAYMENT INFORMATION**

**CALCULATE YOUR TOTAL DUE:**

- CONFERENCE REGISTRATION FEE: $
- $200 Guest – All Meals: $
- $45 Guest Breakfast Ticket – Thursday, 11/12: $
- $55 Guest Lunch Ticket – Thursday, 11/12: $
- $65 Guest Reception Ticket – Thursday, 11/12: $
- $45 Guest Breakfast Ticket – Friday, 11/13: $

**TOTAL DUE:** $

*Guest names for conference badges will be collected at a later date.

**TOTAL DUE:** $

□ Check (payable to ARIAS-U.S.) # ________  □ AMEX □ DISC □ MC □ VISA

**Credit Card #:** ____________________  **Expiration Date:** ________  **Sec Code:** ____________________

**Name as it appears on card:** ____________________  **Billing Zip Code:** ____________________  **Date:** ____________________

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ARIAS-U.S. Board of Directors

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